

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Browne Lori M</u> (Last) (First) (Middle) 511 E. JOHN CARPENTER FREEWAY SUITE 600 (Street) IRVING TX 75062 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Forterra, Inc. [FRTA]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) EVP and General Counsel
	3. Date of Earliest Transaction (Month/Day/Year) 03/18/2022	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/18/2022		D ⁽¹⁾		109,618	D	\$24	0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Stock Option (Right to Buy)	\$18	03/18/2022		D ⁽²⁾			21,880	(3)	10/19/2026	Common Stock	21,880	\$0 ⁽⁴⁾	0	D	
Stock Option (Right to Buy)	\$18.96	03/18/2022		D ⁽²⁾			14,483	(5)	03/20/2027	Common Stock	14,483	\$0 ⁽⁴⁾	0	D	
Stock Option (Right to Buy)	\$7.29	03/18/2022		D ⁽²⁾			107,787	(6)	03/01/2028	Common Stock	107,787	\$0 ⁽⁴⁾	0	D	
Stock Option (Right to Buy)	\$4.24	03/18/2022		D ⁽²⁾			133,775	(7)	03/14/2029	Common Stock	133,775	\$0 ⁽⁴⁾	0	D	
Restricted Stock Unit	(8)	03/18/2022		D ⁽⁹⁾			60,916	(9)	(9)	Common Stock	60,916	\$0 ⁽⁴⁾	0	D	

Explanation of Responses:

- Pursuant to the Agreement and Plan of Merger, dated as of February 19, 2021 (the "Merger Agreement"), by and among Forterra, Inc., a Delaware corporation, (the "Company", Quikrete Holdings, Inc., a Delaware corporation ("Parent"), and Jordan Merger Sub, Inc., a Delaware corporation and a wholly owned subsidiary of Parent ("Merger Sub"), Merger Sub merged with and into the Company (the "Merger"), with the Company continuing as the surviving corporation in the Merger and a wholly owned subsidiary of Parent. Pursuant to the Merger Agreement, at the effective time of the Merger, each share of common stock, par value \$0.001 per share, of the Company ("Common Stock") was canceled and converted automatically into the right to receive \$24.00 per share in cash, without interest and less any applicable tax withholdings.
- Pursuant to the Merger Agreement, each option to purchase shares of Common Stock immediately prior to the effective time of the Merger fully vested and converted into the right to receive, without interest and less any applicable tax withholdings, \$24.00 in cash.
- Vested in equal installments on 10/19/2017, 10/19/2018, 10/19/2019, and 10/19/2020.
- Granted as compensation for services.
- Vested in equal installments on 3/20/2018, 3/20/2019, and 3/20/2020.
- Vested in equal installments on 3/1/2019, 3/1/2020, and 3/1/2021.
- Vested in equal installments on 3/14/2020, 3/14/2021, and 3/20/2022.
- Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- Pursuant to the Merger Agreement, each restricted stock unit immediately prior to the effective time of the Merger fully vested and converted into the right to receive, without interest and less any applicable tax withholdings, \$24.00 in cash.

Remarks:

/s/ Lori M. Browne 03/18/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.